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1 Patterns of testosterone in three Nearctic-Neotropical migratory songbirds during spring
2 passage

3

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14

15 Abstract

16 Preparation for breeding may overlap extensively with vernal migration in long-distance
17 migratory songbirds. Testosterone plays a central role in mediating this transition into breeding
18 condition by facilitating changes to physiology and behavior. While changes in testosterone
19 levels are well studied in captive migrants, these changes are less well known in free-living
20 birds. We examined testosterone levels in free-living Nearctic-Neotropical migrants of three
21 species during their vernal migration. Testosterone levels increased during the migratory period
22 in males of all three species but significantly so in only two. Testosterone levels in females
23 remained the same throughout their migration. Our results support the extensive overlap
24 between vernal migration and breeding preparation in male songbirds. The pattern of
25 testosterone changes during vernal migration is far from clear in females.

26

27 Keywords: testosterone, migration, birds, breeding preparation, annual cycle

28

29

30 1. Introduction

31 Animals express different phenotypes at different times of the year as they transition
32 through their annual cycle. Piersma and van Gils (2011) purport that life-history stages are the
33 specific phenotypic periods that exist within a single individual at different points during the
34 annual cycle. Finite State Machine Theory posits that there is a limit to the number of
35 behavioral, physiological, and morphological traits that can be expressed within an individual at
36 any time (Jacobs and Wingfield, 2000). Accordingly physiological tradeoffs must occur as an
37 organism transitions between states, i.e. stages in the annual cycle (Jacobs and Wingfield,
38 2000; Ramenofsky, 2011). Migratory songbirds typically exhibit the following series of annual
39 life-history stages: breeding, pre-basic molt, autumn migration, overwintering, and vernal

40 migration; and some species also complete a pre-alternate molt prior to vernal migration (sensu
41 Jacobs and Wingfield, 2000). The expression of traits associated with these different life-history
42 stages of migratory species must coincide due to temporal constraints, i.e. the addition of the
43 two lengthy migratory periods necessitates overlap between the migratory stage and the
44 previous and subsequent stages. If we are to understand the biology of migratory species we
45 must understand how these life-history stages interact with one another (Greenberg and Marra,
46 2005).

47 Hormones mediate many of the physiological and behavioral changes as individuals
48 transition between life-history stages (Wingfield, 2008). For example, the termination of
49 breeding and the onset of pre-basic molt is promoted by prolactin (Dawson and Sharp, 1998;
50 Dawson, 2006). Numerous hormones regulate a variety of physical and behavioral traits during
51 breeding, so it is critical to gain a complete understanding of the hormonal changes that take
52 place as seasonally-breeding birds prepare for reproduction. Preparation for breeding in
53 migratory songbirds may be particularly intricate because these birds are balancing the
54 energetic and physiological constraints they experience in two successive and particularly
55 demanding phases of the annual cycle, migration and breeding. While it is clear that events
56 occurring in one life history stage influence survival and reproductive success in subsequent
57 stages (Paxton and Moore, 2015; Studds et al., 2008; Tonra et al., 2011a), studies focusing on
58 the physiological overlap between stages in free-living migrants are limited and their results are
59 inconsistent. For example, while Tonra et al. (2013) detected increases in androgens in
60 American Redstarts (*Setophaga ruticilla*) on the wintering grounds prior to vernal migration and
61 Wingfield and Farner (1978a, 1978b) showed that testosterone increased during vernal
62 migration in White-crowned Sparrows (*Zonotrichia leucophrys*), Bauchinger et al. (2007) did not
63 detect any increase in migrating Garden Warblers (*Sylvia borin*).

64 Testosterone (T) is a steroid hormone that mediates physiology and behavior throughout
65 the annual cycle. T plays a central role during reproduction and may be important in facilitating

66 the transition between breeding and the stages preceding it (Ramenofsky and Wingfield, 2006).
67 In seasonally-reproducing birds, breeding preparation involves photostimulation and subsequent
68 recrudescence of the hypothalamic-pituitary-gonadal (HPG) axis (Hahn et al., 2009). T is
69 present in both males and females at varying levels throughout the annual cycle (Ketterson et
70 al., 2005) and plays a primary role in the expression of breeding behaviors in both sexes
71 (Balthazart, 1983; Wolfgang Goymann and Wingfield, 2014; Rosvall, 2013; Staub and De Beer,
72 1997; Wingfield et al., 2001). Testosterone is also a precursor to the production of estradiol in
73 females, which is required for ova development (Norris, 1997). Since physiological breeding
74 preparation takes approximately one month to complete (Ramenofsky, 2011), this process
75 necessarily overlaps with the previous life-history stage and testosterone levels may increase
76 well before the breeding season begins (Tonra et al., 2013; Wingfield and Farner, 1978a,
77 1978b; see Wingfield et al., 1990).

78 In addition to its influence during the breeding season, T plays a role during vernal
79 migration. Almost a century ago, Rowan (1925) showed that T is required for birds to develop
80 normal migratory behaviors. Many subsequent studies have also revealed that T and/or other
81 gonadal hormones influences the expression of various migratory traits including migratory
82 restlessness, hyperphagia, fat deposition, and accompanying mass gains (Deviche, 1995; King
83 and Farner, 1962; Morton and Mewaldt, 1962; Schwabl and Farner, 1989a, 1989b; Schwabl et
84 al., 1988; Stetson and Erickson, 1972; Weise, 1967). Further, the schedule for the expression of
85 these migratory traits is advanced when T levels are experimentally elevated (Owen et al.,
86 2014; Tonra et al., 2013, 2011b). These studies indicate that T may influence physiological
87 aspects of migration related to energetic condition (fattening, mass gains) and given T's
88 influence on aggression and territoriality during the breeding season (Balthazart, 1983;
89 Goymann and Wingfield, 2014) we might expect a link between T and resource competition
90 during migration. While elevated T leads to increases in activity and food intake in captive birds
91 (Wikelski et al., 1999) and to faster movement rates and more time spent foraging in free-living

92 breeding birds (Lynn et al., 2000), T's influence on competition during migration has yet to be
93 explored.

94 This study investigates variation in T for long-distance migrants as they progress
95 towards their breeding grounds. To do so, we measured T levels in three Nearctic-Neotropical
96 passage migrants at a southern and a northern site during vernal migration thus representing
97 "far" and "near" relative distances to the breeding grounds. Our major hypothesis was that T
98 increases as birds move closer to their breeding grounds during vernal migration. We tested the
99 following specific predictions: (1) birds sampled at our northern site would have higher
100 circulating T when compared to conspecifics sampled at our southern site; (2) males will have
101 higher circulating T than females; (3) T and energetic condition would be positively correlated;
102 and (4) T and the potential for competitive interactions would be correlated. In addition, we
103 investigated the potential for an interaction between corticosterone (CORT) and T since some
104 studies have indicated that CORT may suppress T (Deviche et al., 2001; Swett and Breuner,
105 2008).

106

107 2. Materials and Methods

108 2.1. Study Species

109 The focal species of this study were three boreal-breeding Nearctic-Neotropical passerines:
110 Swainson's Thrush (SWTH; *Catharus ustulatus*), Northern Waterthrush (NOWA; *Parkesia*
111 *noveboracensis*), and Magnolia Warbler (MAWA; *Setophaga magnolia*). All three winter in
112 Central and/or South America and breed primarily in boreal regions of North America, with the
113 southern-most breeding in Eastern North America around 39 degrees North latitude (Poole,
114 2005; Fig. 1). These species do not winter or breed at either of our study locations and thus are
115 transient migrants at both.

116

117 2.2. Capture and Sampling

118 We passively captured migrants at two study locations that represent “far” and “near” relative
119 distances to their breeding ranges (Fig. 1). Our southern, “far”, location was Johnson’s Bayou in
120 Cameron Parish, Louisiana (29° 45’ N 93° 30’ W; hereafter “Louisiana”) where we operated up
121 to 29 mist nets during April and May 2011-2014. This site is approximately two hectares in size
122 but is located within a larger chenier forest extending approximately 20 miles along the northern
123 coast of the Gulf of Mexico in southwest Louisiana. Our northern, “near”, location was
124 Appledore Island in York County, Maine (42°58’N, 70°36’W; hereafter “Maine”) where we
125 operated up to 10 mist nets during May and June 2011-2014. This site is a 33-hectare island
126 located in the Isles of Shoals archipelago and is approximately 9.5 km from the nearest point on
127 the mainland. We checked nets at both locations at least every 20-30 minutes.

128 We obtained a blood sample via brachial puncture from each individual within 10
129 minutes of extraction from a net (mean \pm SD; 4.5 \pm 2.0 min). We used either a 26- or 27-gauge
130 needle, depending on the species, and collected blood into heparinized capillary tubes.
131 Samples were placed on ice or in a refrigerator until centrifuged later that same day at 14,000
132 rpm for 10 minutes. Plasma was extracted and stored at -20°C until analyzed. Red blood cells
133 were placed in approximately 500 μ l of lysis buffer (50mM TRIS, 10mM EDTA, 1% SDS, 0.1 M
134 NaCl) and then stored at either -20°C or 4°C. These red blood cells were used to determine the
135 sex of individuals genetically because neither NOWA nor SWTH exhibit extensive sexual
136 dimorphism.

137 Each bird was banded with a USGS aluminum leg band and measurements of wing
138 length (unflattened wing chord; nearest 0.5 mm), cloacal diameter (nearest 0.1 mm), and body
139 mass (nearest 0.01g) were taken. Age was determined according to Pyle (1997) as either
140 second-year (SY) or after-second-year (ASY). Occasionally we recorded a bird as the less
141 specific age class of after-hatching-year (AHY) if we were unable to differentiate further. For
142 MAWA, sex was determined based on plumage characteristics (Pyle, 1997). Subcutaneous fat

143 deposits were assessed to quantify energetic condition, according to Helms and Drury (1960).
144 Sample sizes by species, location, age, and sex are provide in Table 1.

145

146 2.3. Plasma Testosterone Assays

147 Plasma T was determined with an enzyme immunoassay (EIA; Assay Designs, Inc. #901-065;
148 Jawor, 2007; Jawor et al., 2007). Depending on sample volume, 20-40 μ l of plasma was used
149 and 2000 cpm of H3 labeled T (PerkinElmer) was added to each plasma sample to allow
150 calculation of recoveries after three extractions with diethyl ether. Extracts were re-suspended in
151 50 μ l of ethanol and 300 μ l of the assay buffer provided in the EIA kit. Recoveries were
152 determined with 100 μ l from each reconstituted sample. Samples were run in duplicate with 100
153 μ l of each reconstituted sample in the EIA. Testosterone concentrations were determined using
154 a logistic curve (Microplate Manager; Bio-Rad Laboratories, Inc., Hercules, California) and
155 corrected for incomplete recoveries and initial plasma volume. Intra- and inter-assay variations
156 were determined based on three standard samples of known T concentration placed in each
157 assay plate. Intra-assay variation ranged from 2% to 13% and inter-assay variation was 13.8%.

158

159 2.4. Plasma Corticosterone Assays

160 Given the possibility that CORT may suppress T (Deviche et al., 2001; Swett and Breuner,
161 2008), we determined CORT levels in a subset of our samples, dependent on adequate plasma
162 volume. Plasma CORT was determined with an enzyme immunoassay (EIA; Arbor Assays Inc.
163 #K014; DeVries and Jawor, 2013). Ten μ l of plasma were used with 2000 cpm of H3 labeled
164 CORT (PerkinElmer) to allow calculation of recoveries after three extractions with diethyl ether.
165 Extracts were re-suspended in 400 μ l of the assay buffer provided in the EIA kit. Recoveries
166 were determined with 100 μ l from each reconstituted sample. Samples were run in duplicate
167 with 50 μ l of each reconstituted sample on the EIA. CORT concentrations were determined
168 using a logistic curve (Microplate Manager; Bio-Rad Laboratories, Inc., Hercules, California) and

169 corrected for incomplete recoveries and initial plasma volume. Intra- and inter-assay variations
170 were determined based on four samples from a plasma pool placed in each assay plate. Intra-
171 assay variation ranged from 6% to 9% and inter-array variation was 6%.

172

173 2.5. Genetic Sex Determination

174 We extracted DNA using a DNeasy Tissue Kit, #69506, Qiagen, Valencia, CA, following
175 standard protocol from Qiagen for nucleated erythrocytes. Polymerase chain reaction was used
176 to amplify the Chromobox-Helicase-DNA binding gene, different versions of which are found on
177 the Z and W chromosomes of birds, using the P2 and P8 primers (Griffiths et al., 1996, 1998).
178 PCR products were run on an agarose gel where a single band at 325 bp indicated male and
179 two bands (one each at 325 and 375 bp) indicated female.

180

181 2.6. Statistical Analyses

182 Prior to analyses, T and CORT data were corrected for inter-assay variation based on the
183 standards within each assay. Further, both T and CORT failed to meet normality assumptions.
184 Natural log (NOWA and MAWA T), square root (SWTH and NOWA CORT), or sixth root (SWTH
185 T) transformations corrected the disparity, and thus transformed data were used in all analyses.
186 Also, birds aged as unknown/AHY were excluded from all analyses that included age.

187 We calculated energetic condition for each bird by subtracting the species- and size-
188 specific fat-free mass from the individual's body mass, thus larger values of energetic condition
189 are indicative of birds with larger fat stores, after correcting for size (Ellegren, 1992; Owen and
190 Moore, 2006). For this calculation we used the combined long-term data sets from both study
191 sites, Louisiana (1993-2010) and Maine (1990-2009), for each of the three species (SWTH: N =
192 1,877; NOWA: N = 2,265; MAWA: N = 6,011). For each species and wing chord, we regressed
193 body mass on fat score and then used the resulting intercept in a final regression of fat-free

194 mass on wing chord. The resulting equations solve for fat-free mass (y) where 'x' represents
195 wing chord (SWTH: $y = 0.20x + 5.8$; NOWA: $y = 0.09x + 7.9$; MAWA: $y = 0.09x + 2.6$).

196 All analyses were performed in Program R (version 3.1.2). We used linear mixed effects
197 models to allow the inclusion of random effects (packages lme4 and lmerTest; Bates, 2010;
198 Bates et al., 2014). We selected the best model for each set of analyses using likelihood ratio
199 tests by comparing full models (with all possible interactions) to simpler models, which allowed
200 detection of significant interactions and first-order effects. We evaluated significance for main
201 effects using likelihood ratio tests that compared a model with the variable in question to the null
202 model. If necessary, we evaluated pairwise effects using least squares means tests with Tukey
203 correction (package lsmeans in R).

204 We examined T in each study species in a series of analyses. First, we investigated
205 whether circulating T was influenced by a variety of factors measured in this study that should
206 be considered when testing our other predictions. These factors included date (day of year), the
207 latency between extraction from nets and blood sampling (handling time), energetic condition,
208 and time of day (calculated as sampling time less the time of local sunrise). Analyzing these
209 factors first allowed us to identify which variables should be included as random variables in
210 subsequent analyses and thus in this first set analyses, age, sex, year, and location were set as
211 random effects. Second, we analyzed whether variation in circulating T levels can be explained
212 by age, sex, or location of sampling (far or near the breeding grounds). In this second set of
213 analyses, year and any effects of date, handling time, time of day, and energetic condition that
214 were supported by model selection from the first analysis were kept as separate random effects.
215 Third, we examined whether T was correlated with two measures of competition a migrant
216 would experience on the day of sampling. For this analysis we compared circulating T to both
217 the total number of other migrants captured and the number of migrants captured within each
218 species' foraging group. MAWA, NOWA and SWTH were considered to be upper canopy,
219 ground, and ground/midlevel foragers respectively. All species captured at our two study

220 locations (# species = 98, # migrants = 21,050) were broadly designated as ground/understory,
221 midlevel/lower-canopy, and/or upper canopy foraging guilds (loosely based on De Graaf et al.,
222 1985). Since it is likely that site-specific factors influence these measures of competition, this
223 third set of analyses were run for each site separately and all aforementioned variables that
224 were supported by the model selection in our first and second sets of analyses were included as
225 random effects.

226 In a separate analysis, we examined the potential influence of CORT on circulating T on
227 a subset of sampled birds. Because of their small body size we were unable to obtain enough
228 blood from MAWA for this additional hormone analysis (mean mass \pm SD; 8.2 ± 1.0 g); therefore
229 we only investigated the potential influence of CORT in NOWA and SWTH. For these analyses,
230 we used ANOVAs to compare CORT and T levels in both males and females sampled within 3
231 minutes of capture in a net (baseline CORT samples) to individuals for whom precise capture
232 time was unknown. This design allowed us to determine whether CORT levels were higher in
233 birds with unknown capture times and whether this influenced circulating T levels as has been
234 indicated in some studies (Deviche et al., 2001; Swett and Breuner, 2008). Finally, we also
235 analyzed the relationship between T and diameter of the cloaca using ANOVA.

236

237 3. Results

238 3.1. Testosterone patterns related to sex, location, and age

239 There were no significant interactions between location, age and sex on T levels of SWTH and
240 none of these factors had a significant effect on T in SWTH (Table 2; Fig. 2). T levels of NOWA
241 showed no significant interactions between location, age, or sex. There was a significant effect
242 of location on T levels in NOWA but the effects sex and age were not significant (Table 2). Post-
243 hoc pairwise analyses revealed that male NOWA have higher T in Maine ($t = 2.6$, $p = 0.048$; Fig.
244 2) while T in female NOWA was not different between sites ($t = 0.49$, $p = 0.96$). Further, T in
245 male NOWA in Maine was higher than in female NOWA from both locations but only

246 significantly so for females in Louisiana (Female Maine-Male Maine: $t = 2.5$, $p = 0.07$; Female
247 Louisiana-Male Maine: $t = 2.7$, $p = 0.04$). We found no significant interactions between sampling
248 location, age, or sex on T of MAWA thus first-order effects were investigated. There were
249 significant effects of sex and sampling location on T, but not age (Table 2). While both male and
250 female MAWA had higher T in Maine, as shown by pairwise analyses, the difference is
251 significant in males only (Males: $t = 3.7$, $p < 0.01$; Females: $t = 1.6$, $p = 0.4$; Fig. 2). Additionally
252 female MAWA in Maine had significantly higher T than males in Louisiana ($t = 4.0$, $p < 0.01$; Fig.
253 2).

254

255 3.2. Other factors in relation to testosterone

256 For SWTH, none of the other variables examined (date, handling time, energetic condition, and
257 time of day) had a significant effect on T (Table 3). There was no effect of energetic condition or
258 time of day on T in NOWA (Table 3). In NOWA, date had a significant and positive effect on T
259 (Table 3). Handling time also had a significant effect on T in NOWA however the 95%
260 confidence interval for the parameter estimate overlapped zero indicating that there is no net
261 effect of handling time. In MAWA, date had a significant positive relationship with T while the
262 other variables tested were not related to T levels (Table 3).

263 There was no effect of sex on either CORT or T levels between birds sampled within 3
264 minutes of capture compared to those with unknown capture times (which could have been up
265 to 30 minutes) in either species tested (T, SWTH: $F_{1,22} = 0.37$, $p = 0.55$; T, NOWA: $F_{1,16} = 0.03$,
266 $p = 0.86$; CORT, SWTH: $F_{1,22} = 0.34$, $p = 0.57$; CORT, NOWA: $F_{1,16} = 0.16$, $p = 0.70$). CORT
267 was significantly higher in birds with unknown capture times compared to those sampled within
268 3 minutes (SWTH: $F_{1,22} = 4.6$, $p = 0.04$; NOWA: $F_{1,16} = 24.6$, $p < 0.001$; Fig. 3). However, there
269 was no significant difference in T between birds sampled within 3 minutes of capture and those
270 with an unknown capture time (SWTH: $F_{1,22} = 0.001$, $p = 0.97$; NOWA: $F_{1,16} = 2.70$, $p = 0.12$;
271 Fig. 3).

272 Neither the total number of migrants nor the number of migrants in each species'
273 foraging group was related to T at either location for any of the three species studied (Table 4).
274 Diameter of the cloaca was not different between males and females in any of the three species
275 studied (SWTH: $F_{1,151} = 0.39$, $p = 0.54$; NOWA: $F_{1,59} = 0.01$, $p = 0.92$; MAWA: $F_{1,60} = 0.19$, $p =$
276 0.67). Additionally, cloacal diameter was not related to circulating T levels in any three species
277 (SWTH: $F_{1,151} = 0.55$, $p = 0.46$; NOWA: $F_{1,58} = 1.34$, $p = 0.25$; MAWA: $F_{1,60} = 0.05$, $p = 0.83$).

278

279 4. Discussion

280 4.1. Geographic patterns of testosterone

281 In all three species studied, males had higher T in Maine, where they are closer to their
282 breeding grounds, compared to Louisiana, although this pattern was not statistically significant
283 in SWTH. This increase in T likely coincides with testis recrudescence which has been shown to
284 occur throughout vernal migration in other passerine species (Bauchinger et al., 2008, 2007;
285 Wingfield and Farner, 1978a, 1978b). Whereas studies of captive birds also indicate that T
286 increases as males progress through simulated vernal migration (Bauchinger et al., 2008;
287 Bluhm et al., 1991; Ramenofsky et al., 1999; Schwabl and Farner, 1989a, 1989b), it should be
288 noted that hormone levels between captive and free-living birds may not be comparable (see
289 Wingfield et al., 1990).

290 Within songbirds, the extent of overlap between migration and breeding preparation is
291 likely to be driven by the length of the migratory journey such that long-distance migrants,
292 including Nearctic-Neotropical migrants, are expected to have substantial temporal overlap
293 between breeding preparation and vernal migration (Ramenofsky and Wingfield, 2006;
294 Ramenofsky, 2011). Field studies investigating T levels in relation to breeding ground proximity
295 in male migrants have yielded conflicting results. Wingfield and Farner (1978a, 1978b) found
296 that T increases during the migratory period as males approach the breeding grounds in both
297 the long-distance subspecies (*Z.l. gambelii*) and the medium-distance subspecies (*Z. l.*

298 *pugetensis*) of the White-crowned Sparrow. Bauchinger and colleagues (2007) did not find an
299 increase in T until Garden Warblers arrived on the breeding grounds. It should be noted that
300 many of their samples were below their assay's detection limit, which may have impeded the
301 ability to detect changes in the T levels of migrating Garden Warblers. Tonra et al. (2013) also
302 failed to find a relationship between androgen levels and distance to the breeding grounds in
303 American Redstarts as they prepared for their vernal migration.

304 While androgens, including T, are generally considered to be “male” hormones, female
305 vertebrates also have meaningful levels of circulating androgens and possess androgen
306 receptors (Staub and De Beer, 1997). In female birds, T is produced largely by the ovaries but
307 also may be secreted by the adrenal glands, and T levels vary seasonally (Ketterson et al.,
308 2005; Staub and De Beer, 1997). In this study, we did not detect any changes in T levels in
309 female songbirds during spring migration. Wingfield and Farner (1978a) found T to increase
310 during migration in the long-distance migrant subspecies of White-crowned Sparrow but not in
311 their medium distance conspecifics (Wingfield and Farner, 1978a). However in both of these
312 studies by Wingfield and Farner (1978a, 1978b), estradiol was higher in late migrants and upon
313 arrival at the breeding grounds compared to earlier time periods. Once produced, T may be
314 immediately converted into estradiol or may be released into general circulation for direct use or
315 later conversion to estradiol by target tissues (Adkins-Regan, 2005). While we did not measure
316 estradiol in this study because of sampling limitations, one might predict estradiol to increase
317 throughout the migratory period in female songbirds.

318 In this study we found female MAWA to have relatively high T levels at both locations. In
319 fact, T levels in female MAWA were higher than in male MAWA at our Louisiana sampling
320 location. Outside of the breeding season T levels in songbirds remain fairly low in both sexes,
321 and it is apparently rare for females to have higher T than males in any season (see Ketterson
322 et al., 2005). However, circulating levels of T in male and female Northern Cardinals (*Cardinalis*
323 *cardinalis*) were similar during the non-breeding season (Devries et al., 2011; Jawor, 2007).

324 Similarly, T levels in Downy Woodpecker (*Picoides pubescens*) females were as high as or
325 higher than in males during the non-breeding season (Kellam et al., 2004). Both of these
326 species are non-migratory and both sexes may defend territories outside of the breeding
327 season. In contrast, MAWA is a long-distance Nearctic-Neotropical migrant in which males are
328 responsible for most territory defense in the breeding season (Dunn and Hall, 2010). However,
329 other species of wood warblers are known to aggressively defend territories during the non-
330 breeding season (Greenberg et al., 1996; Marra, 2000) and MAWA have been shown to
331 segregate by sex on their wintering grounds (Ornat and Greenberg, 1990). While it is possible
332 that female MAWA sustain high T throughout the year to promote aggressive behaviors related
333 to winter territory defense, given the relatively low T levels seen in male MAWA early in
334 migration and since males likely show aggressive behaviors as well, this potential explanation
335 for female T levels in MAWA warrants more attention.

336

337 4.2. Temporal patterns of testosterone

338 In MAWA and NOWA, T increased with date, which may indicate that the pattern detected
339 between sampling locations is a function of the time since breeding preparation began, rather
340 than the geographic proximity to the breeding grounds. This observed pattern makes sense
341 because after being photostimulated, the HPG axis increases production of hormones including
342 T (Deviche and Small, 2001; Hahn et al., 2009). Vernal migration takes approximately 20 days
343 for long-distance migrants complete (Ewert et al., 2012; Stutchbury et al., 2009; Wikelski et al.,
344 2003). Based on our mixed-effect models, we estimate that circulating T would increase by 1.3
345 ng/ml in both NOWA and MAWA during that time. Testosterone levels in breeding male
346 songbirds are generally within the 1 – 5 ng/ml range (Ketterson et al., 2005), so this observed
347 within-season increase represents a meaningful change in hormone levels.

348

349 4.3. Other factors considered in relation to testosterone

350 T was not related to time of day, energetic condition, cloacal protuberance, or handling time.
351 Previous studies have found that T follows a diel rhythm with highest levels during the over-
352 night or early morning periods (Goymann and Trappschuh, 2011; Hau et al., 2002; Kempenaers
353 et al., 2008). Given that we only sampled T during daytime hours, it is not surprising that we did
354 not find T to correlate with time since sunrise. Removal of T has been shown to suppress the
355 development of migratory traits including fattening and increases in mass (Deviche, 1995;
356 Schwabl and Farner, 1989b; Stetson and Erickson, 1972) and experimental increases in T have
357 been shown to promote the development of such traits (Owen et al. 2014; Tonra et al. 2011b;
358 2013). Although understudied, T may also play a role in the regulation of muscle anabolism (see
359 Ramenofsky, 2011) and these seemingly opposing roles of T with regard to condition may
360 explain the lack of relationship in this study. While some studies have indicated T levels may be
361 related to condition changes in preparation for migration (Tonra et al. 2011b; 2013) it is likely
362 that T's role in fattening and other migratory traits is complicated and requires further study
363 (Ramenofsky and Németh, 2014).

364 The cloacal region is used for sperm storage in male birds and studies have shown that
365 T plays a role in the development of the cloacal protuberance (Ramenofsky and Németh, 2014;
366 Tonra et al., 2011b). Given that the proportions of migrating songbirds that have been found to
367 produce measurable amounts of sperm is low (Quay, 1986, 1985a, 1985b) and that T levels we
368 report in this study are lower than what is typical of breeding songbirds (Ketterson et al., 2005),
369 the lack of relationship between cloacal diameter and T is not unexpected. That said, Tonra et
370 al. (2011a) found an inverse relationship between cloacal diameter and arrival date on the
371 breeding grounds with early arriving males having larger cloacal diameters, higher androgen
372 levels, and better breeding success. In our study, cloacal diameter was not different between
373 males and females indicating that that development of the cloacal protuberance in males had
374 yet to commence.

375 Time spent in captivity has the potential to influence hormone levels and studies often
376 exercise caution by including handling time in statistical analyses of T and other hormones.
377 However, the effect of relatively short handling times (< 30 min), as in this study, are not likely to
378 significantly influence circulating T (Peters et al., 2001; but see Devries et al., 2011). While we
379 define handling time as the latency between extraction from a net and sampling, precise capture
380 time in a net is unknown for most of the birds in this study. Even though previous studies have
381 suggested that high levels of CORT caused by capture and handling may suppress T and other
382 hormones of the HPG axis (Deviche et al., 2001; Swett and Breuner, 2008), we saw no
383 indication of this in our study. Testosterone levels in birds with elevated “stress” levels or CORT
384 were no different from birds with lower, baseline CORT levels. Our results are not novel,
385 however, since other studies have found similar results (e.g. Devries et al., 2011; Wikelski et al.,
386 1999), but this study does add to a growing body of evidence of the complex relationship
387 between these two hormones. For example, higher CORT levels may increase free (unbound) T
388 (Deviche et al., 2001) and variation among levels of T, CORT, and their shared binding globulin
389 do not seem to influence T’s availability to target tissues (Swett and Breuner, 2008).

390 Testosterone is known to mediate inter- and intra-specific aggression and territorial
391 defense in songbirds during the breeding season (Balthazart, 1983; Wingfield et al., 2001). In
392 this study, however, we found no evidence that T was related to or influenced by competition
393 during migratory stopover as assessed by both the total number of other migrants present and
394 the number of migrants within a species’ foraging group. That said, T may influence the rate of
395 and time spent foraging during the breeding season (Lynn et al., 2000) and thus the relationship
396 between T, competition, and foraging activities during migration warrants further study during
397 the migratory periods.

398

399 5. Conclusions

400 The growing consensus is that male migrants increase their T during vernal migration, likely as
401 a result of overlapping life-history stages as breeding preparation begins. While female migrants
402 did not vary during migration in this study, most birds of both sexes had slightly higher T levels
403 than what is found in other Nearctic-Neotropical migrants during the wintering period (e.g. Tonra
404 et al., 2013; Wingfield and Farner, 1978a, 1978b). Additionally, our observed temporal increase
405 in T during migration may reflect the time that has elapsed since photostimulation rather than
406 simply a geographic proximity to the breeding grounds. The overlapping life history stages of
407 breeding and migration seen in this and other studies likely facilitate a smooth transition to
408 commence breeding activities (e.g. territory establishment) immediately upon arrival on the
409 breeding grounds.

410

411

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428

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622

623 Figure Captions

624

625 Fig. 1. Breeding ranges for (A) Swainson's Thrushes, (B) Northern Waterthrushes, and (C)
626 Magnolia Warblers. Sampling locations in Louisiana and Maine are indicated by filled triangles.
627 Breeding range data were provided by BirdLife-International and NatureServe (2014).

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630 Fig. 2. Circulating testosterone levels in male (circles) and female (triangles) Swainson's
631 Thrushes, Northern Waterthrushes, and Magnolia Warblers sampled at a southern and a
632 northern site during vernal migration. Data points are back-transformed means and error bars
633 represent $\pm 1SE$.

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635

636 Fig. 3. Circulating testosterone levels and corticosterone levels in (A) Swainson's Thrushes and
637 (B) Northern Waterthrushes for bird sampled within three minutes of capture (squares) and
638 those in which precise capture time is unknown (circles). Data points are back-transformed
639 means and error bars represent $\pm 1SE$.

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641

642 TABLE 1. Number of birds sampled in Louisiana and Maine during spring migration by age and
643 sex.

Species	Site	Male			Female		
		SY	AS	AH	SY	ASY	AHY
Swainson's Thrush	Louisian	57	55	6	57	33	8
	Maine	22	20	2	18	23	1
Northern	Louisian	19	24	17	21	10	5
	Maine	13	19	2	24	19	4
Magnolia Warbler	Louisian	19	20	0	21	6	0
	Maine	34	21	0	29	17	0

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647 TABLE 2. Linear mixed model analysis of the effects of age, sex, and sampling location on
648 testosterone. Model effect estimates and standard error is given for each species and variable.
649 Chi-squared statistics derive from likelihood ratio tests that compared a model with the variable
650 in question to the null model. Asterisks denote statistical significance of a variable.

Species	Fixed	Model Statistics		Comparison to Null Model	
		Estimat	SE	X ²	P
Swainson's Thrush	Age	0.89	0.02	0.76	0.39
	Sex	0.008	0.01	0.42	0.52
	Location	0.03	0.02	2.77	0.10
Northern	Age	-0.11	0.14	0.58	0.45
	Sex	0.23	0.13	3.22	0.07
	Location	-0.29	0.14	3.87	0.049*
Magnolia Warbler	Age	-0.17	0.12	1.81	0.18
	Sex	-0.13	0.12	13.16	0.001*
	Location	-0.49	0.13	13.20	0.0003

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656 TABLE 3. Linear mixed model analysis of the effects of energetic condition, handling time,
 657 sampling date, and time of day on testosterone. Model effect estimates and standard error is
 658 given for each species and variable. Chi-squared statistics derive from likelihood ratio tests that
 659 compared a model with the variable in question to the null model. Asterisks denote statistical
 660 significance of a variable.

Species	Fixed Effect	Model Statistics		Comparison to Null Model	
		Estimat	SE	X^2	P
Swainson's Thrush	Energetic	0.003	0.002	0.46	0.50
	Handling Time	-0.0009	0.003	0.10	0.76
	Date	0.0004	0.0005	0.65	0.42
	Time of Day	0.003	0.002	0.74	0.39
Northern	Energetic	0.005	0.04	0.01	0.91
	Handling Time	0.07	0.04	5.00	0.03*
	Date	0.01	0.005	5.18	0.02*
	Time of Day	-0.003	0.02	0.02	0.90
Magnolia Warbler	Energetic	0.007	0.07	0.01	0.92
	Handling Time	0.008	0.03	3.69	0.06
	Date	0.02	0.004	10.53	0.001*
	Time of Day	-0.008	0.02	0.25	0.62

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662

663 TABLE 4. Linear mixed model analysis of testosterone and foraging competition. Testosterone
 664 was compared to the total number of other migrants and to the number of migrants within each
 665 species' foraging group (see methods). Statistics derive from likelihood ratio tests that
 666 compared a model with the variable in question to the null model.

Species	Comparison	Louisiana		Maine	
		X^2	P	X^2	P
Swainson's Thrush	Total	0.26	0.61	1.52	0.22
	Foraging Group	0.05	0.82	1.13	0.29
Northern Waterthrush	Total	0.33	0.57	3.30	0.07
	Foraging Group	0.14	0.71	1.14	0.29
Magnolia Warbler	Total	0.33	0.57	0.14	0.71
	Foraging Group	0.37	0.54	0.35	0.55

667

Figure 1



Figure 2

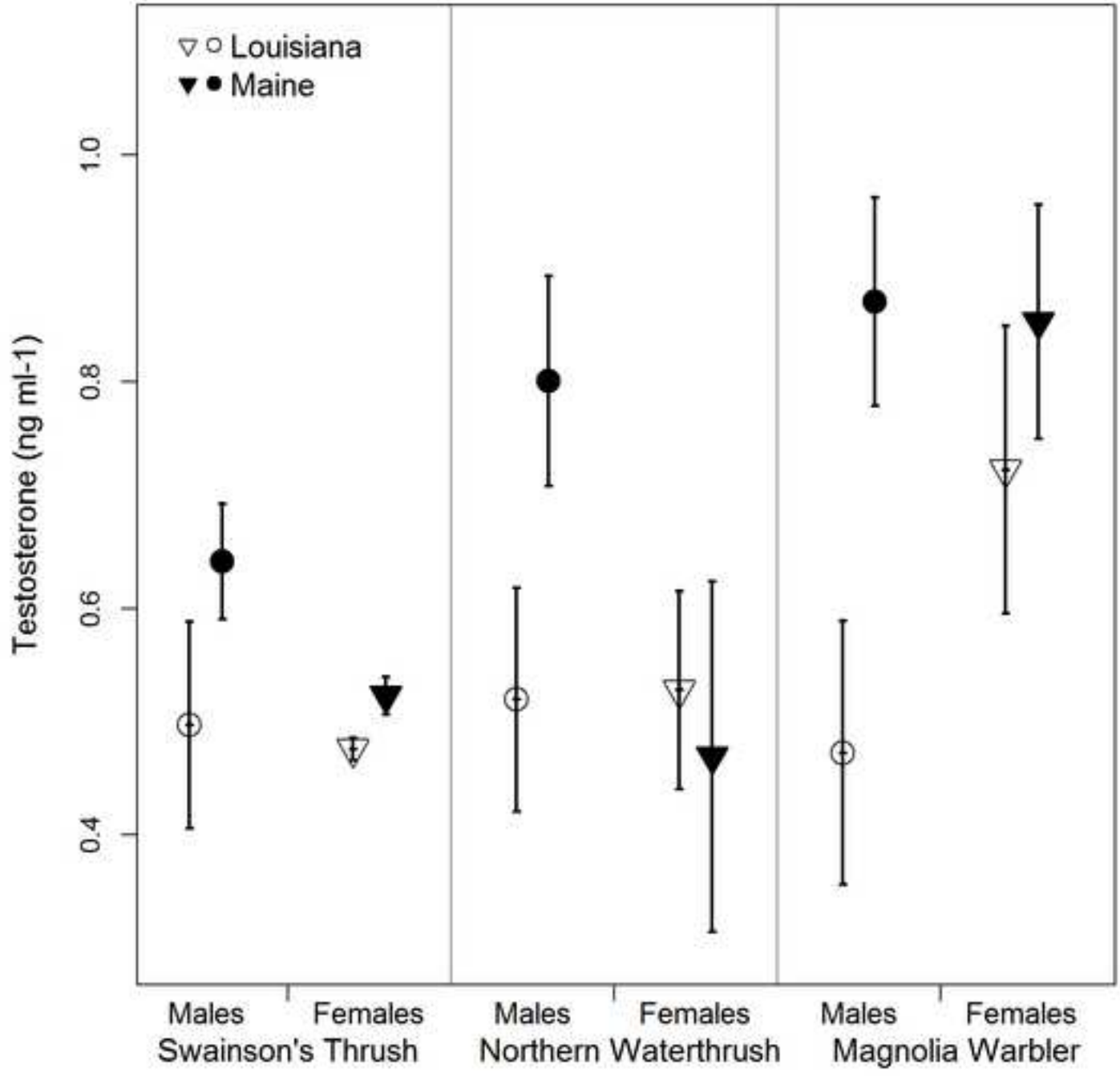


Figure 3

